

JUL 27 2001
PATENT & TRADEMARK OFFICE
PTO-1449

PTO-1449		SERIAL NO. 09/738,268	CASE NO. 8864/23
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE December 15, 2001	GROUP ART UNIT 2834
APPLICANT(S): Dennis K. Lieu			

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS
~10	A1 3,590,328	06/29/1971	Bert L. Frescura	
	A2 3,638,055	01/25/1972	Zimmermann	
	A3 3,874,073	04/01/1975	Dochterman et al.	
	A4 3,942,054	03/02/1976	Kristen et al.	
	A5 3,979,530	09/07/1976	Schwider et al.	
	A6 4,128,527	12/05/1978	Kinjo et al.	
	A7 4,352,897	10/05/1982	Ogata et al.	
	A8 4,387,311	06/07/1983	Kobayashi et al.	
	A9 4,492,889	01/08/1985	Fukushi et al.	
	A10 4,572,979	02/25/1986	Haar et al.	
	A11 4,679,313	07/14/1987	Schultz et al.	
	A12 4,760,299	07/26/1988	Dickie et al.	
	A13 4,801,833	01/31/1989	Dye	
	A14 4,853,576	08/01/1989	Mayumi et al.	
	A15 4,855,853	08/08/1989	Matsushita et al.	
	A16 4,858,073	08/15/1989	Gregory	
	A17 4,868,970	09/26/1989	Schultz et al.	
	A18 4,954,739	09/04/1990	Schultz et al.	
	A19 5,008,572	04/16/1991	Marshall et al.	
	A20 5,036,580	08/06/1991	Fox et al.	
	A21 5,073,735	12/17/1991	Takagi	
	A22 5,075,585	12/24/1991	Teruyama et al.	
	A23 5,121,021	06/09/1992	Ward	
	A24 5,134,327	07/28/1992	Sumi et al.	
	A25 5,142,103	08/25/1992	Stine	
	A26 5,147,982	09/15/1992	Steffen	
	A27 5,206,554	04/27/1993	Penrot	
	A28 5,268,607	12/07/1993	McManus	
	A29 5,331,483	07/19/1994	Muller et al.	
	A30 5,334,897	08/02/1994	Ineson et al.	
	A31 5,345,129	09/06/1994	Molnar	
	A32 5,382,852	01/17/1995	Yuhii et al.	
	A33 5,396,210	03/07/1995	Purohit et al.	
	A34 5,400,218	03/21/1995	Vai	
	A35 5,414,317	05/09/1995	Reid et al.	
	A36 5,461,772	10/31/1995	Puri	
	A37 5,500,780	03/19/1998	Boutaghou et al.	

EXAMINER	<i>Kots</i>	DATE CONSIDERED	<i>9/22/04</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
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SERIAL NO.	09/738,268	CASE NO.	8864/23
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE	GROUP ART UNIT
(use several sheets if necessary)		December 15, 2000	2834
APPLICANT(S): Dennis K. Lieu			

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE	
CW	A38	5,508,458	04/09/1996	Pace et al.		
	A39	5,541,787	07/30/1996	Jabbari et al.		
	A40	5,548,458	08/20/1996	Pelstring et al.		
	A41	5,558,445	09/24/1996	Chen et al.		
	A42	5,579,188	11/26/1996	Dunfield et al.		
	A43	5,587,617	12/24/1996	Dunfield et al.		
	A44	5,598,048	01/28/1997	Dunfield et al.		
	A45	5,610,463	03/11/1997	Dunfield et al.		
	A46	5,619,083	04/08/1997	Dunfield et al.		
	A47	5,619,389	04/08/1997	Dunfield et al.		
	A48	5,621,372	04/15/1997	Purohit		
	A49	5,633,545	05/27/1997	Albrecht et al.		
	A50	5,664,314	09/09/1997	Pelstring et al.		
	A51	5,666,242	09/09/1997	Edwards et al.		
	A52	5,668,427	09/16/1997	Morita		
	A53	5,672,927	09/30/1997	Viskochil		
	A54	5,675,196	10/07/1997	Huang et al.		
	A55	5,675,201	10/07/1997	Komura et al.		
	A56	5,694,268	12/02/1997	Dunfield et al.		
	A57	5,698,919	12/16/1998	Obara		
	A58	5,728,600	03/17/1998	Saxelby, Jr. et al.		
	A59	5,729,404	03/17/1998	Dunfield et al.		
	A60	5,742,450	04/21/1998	Moser		
	A61	5,751,085	05/12/1998	Hayashi		
	A62	5,751,514	05/12/1998	Hyde et al.		
	A63	5,766,535	06/16/1998	Ong		
	A64	5,783,888	07/21/1998	Yamano		
	A65	5,793,129	08/11/1998	Parsonault et al.		
	A66	5,808,169	09/15/1998	Trago et al.		
	A67	5,814,412	09/29/1998	Terada et al.		
	A68	5,822,846	10/20/1998	Moritan et al.		
	A69	5,831,355	11/03/1998	Oku		
	A70	5,850,318	12/15/1998	Dunfield		
	A71	5,880,179	03/09/1999	Ito et al.		
	A72	5,881,447	03/16/1999	Molnar		
	A73	5,942,824	08/24/1999	Shioya et al.		
	A74	5,958,466	09/28/1999	Ong		
	A75	5,973,424	10/26/1999	Engelberger et al.		
	A76	5,982,057	11/09/1999	Imada et al.		

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	FILING DATE December 15, 2000	GROUP ART UNIT 2834
	APPLICANT(S): Dennis K. Lieu	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A77	5,986,365	11/16/1999	Kuwert et al.		
A78	5,990,247	11/23/1999	Terada et al.		
A79	6,002,185	12/14/1999	Nakao et al.		
A80	6,019,516	02/01/2000	Leuthold et al.		
A81	6,020,661	02/01/2000	Trago et al.		
A82	6,034,841	03/07/2000	Albrecht et al.		
A83	6,043,583	03/28/2000	Kurosawa et al.		
A84	6,071,014	06/06/2000	Lee et al.		
A85	6,075,304	06/13/2000	Nakatsuka		
A86	6,102,638	08/15/2000	Geise		
A87	6,148,501	11/21/2000	Grantz et al.		
A88	6,163,952	12/26/2000	Takehara		
A89	6,215,219 B1	04/10/2001	Hwang		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
A90	DE 25 39 492 A1	03/10/77	Germany		Abstract
A91	870,878	01/15/79	Belgium		Abstract
A92	891,258	03/16/82	Belgium		Abstract
A93	SU 1334297	08/30/87	Soviet Union		Abstract
A94	SU 1494148	07/15/89	Soviet Union		Abstract
A95	01190256	07/31/89	Japan		Abstract
A96	01291652	11/24/89	Japan		Abstract
A97	2 847 958	12/07/90	France		Abstract
A98	03128645	05/31/91	Japan		Abstract
A99	WO 92/06532	04/16/92	WIPO		
A100	DE 42 21 429 A1	06/30/92	Germany		X
A101	05336722	12/17/93	Japan		Abstract
A102	WO 96/20501	07/04/96	WIPO		
A103	08186953	07/16/96	Japan		Abstract
A104	WO 96/33533	10/24/96	WIPO		
A105	EP 0 747 943 A2	12/11/96	EPO		
A106	WO 97/39870	10/30/97	WIPO		
A107	10070870	03/10/98	Japan		Abstract
A108	410271719	10/09/1998	Japan		Abstract
A109	EP 0 883 171 A1	12/09/98	EPO		Abstract
A110	11082508	03/26/99	Japan		Abstract

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JUL 17 2000

SERIAL NO.

09/738,262

CASE NO.

8864/23

**LIST OF PATENTS AND PUBLICATIONS FOR
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STATEMENT**

(use several sheets if necessary)

FILING DATE

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GROUP ART UNIT

2834

APPLICANT(S): Dennis K. Lieu

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
DW	A111 WO 01/10002 A2	02/08/01	WIPO		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
DW	A112	LNP Engineering Plastics, Advertisement entitled "Kondukt™ Thermally Conductive Composites," undated (2 pages)	
	A113	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," undated, Publ. Reorder No.: H-58633 (R, 96.7), 20 pages.	
	A114	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)	
	A115	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, <http://www.bmwworks.com/VIP.htm>, 1 page.	
	A116	The Epoxyline Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, <http://www.epoxyline.com/EpoxylineEquipment.htm>, 3 pages.	
	A117	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, <http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm> 2 pages.	
✓	A118	Document entitled "Thermoplastic Encapsulation, Technology, Markets & Benefits," undated, but prior to December 22, 1998 (37 pages)	

EXAMINER INITIAL	U.S. Patent Applications		
	Filing Date	Serial No.	
DW	A119 Dec. 22, 1999	09/470,424	copy of claims as filed enclosed
	A120 Dec. 22, 1999	09/470,425	copy of claims as filed enclosed
	A121 Dec. 22, 1999	09/470,426	copy of claims as filed enclosed
	A122 Dec. 22, 1999	09/470,427	copy of claims as filed enclosed
	A123 Dec. 22, 1999	09/470,428	copy of claims as filed enclosed
	A124 Dec. 22, 1999	09/470,429	copy of claims as filed enclosed
	A125 Dec. 22, 1999	09/470,430	copy of claims as filed enclosed
	A126 Dec. 22, 1999	09/470,431	copy of claims as filed enclosed
	A127 Dec. 22, 1999	09/470,432	copy of claims as filed enclosed
✓	A128 Dec. 22, 1999	09/470,433	copy of claims as filed enclosed
✓	A129 Dec. 22, 1999	09/470,434	copy of claims as filed enclosed

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